

# GINA Pocket Guide

## Difficult to treat and severe asthma in adults and adolescents

V2.0 April 2021



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# The Global Initiative for Asthma (GINA)



- GINA was established by the [WHO](#) and [NHLBI](#) in [1993](#)
- To increase **awareness** about asthma
- To improve asthma **prevention** and **management** through a coordinated worldwide effort
- GINA is independent .approved in about 100 countries
- Practical focus: multiple flow-charts and tables
- The GINA strategy report **is updated every year**
- **Twice-yearly cumulative review of new evidence** across the whole asthma strategy
- 
- 1<sup>st</sup> pub1995.1<sup>st</sup> major revision2000,AWD 2001.2002 annually, Control vs Severity 2005,
- Non profit organization 2008 .2<sup>nd</sup> major revision2014.3<sup>rd</sup> major revision 2019,4<sup>th</sup> key change 2021

# About the GINA strategy



- The GINA report **is not a guideline**, but an **integrated evidence-based strategy** focusing on translation into clinical practice
- Recommendations **are framed**,, but as part of an integrated strategy, in relation to:
  - The GINA goals of **preventing asthma deaths and exacerbations**, as well as improving symptom control
  - Current understanding of **underlying disease processes**
  - **Human behaviour** (of health professionals and patients/carers)
  - Implementation in clinical practice
  - Global variation in populations, health systems and medication access
- GINA provides practical resources for clinicians
  - Figures and tables about implementation in clinical practice: **not just 'what', but 'how to'**
  - A survey of GINA Assembly members in 2017 strongly encouraged development of a **practical resource about severe asthma**

## ■ **Uncontrolled asthma**

- **Frequent symptoms**( Night awaking ,Activity intolerance )
- and/or flare-ups ( **Frequent exacerbations**:1 time for Hospital admission,2 time for use of OCS)
- Many of these patients may potentially have mild asthma, i.e. their asthma could be well-controlled with low dose ICS, if taken regularly

## ■ **Difficult-to-treat asthma**

- (not difficult patients!)
- Asthma uncontrolled despite prescribing high dose preventer treatment
- Contributory factors may include:
  - incorrect diagnosis, incorrect inhaler technique, poor adherence, comorbidities

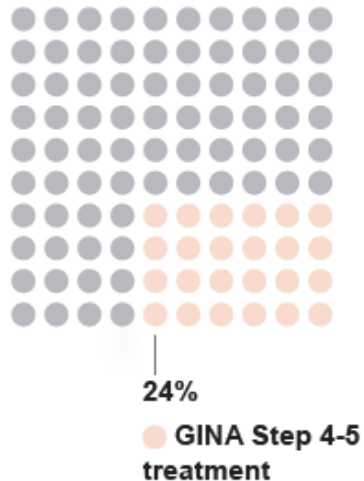
## ■ **Severe asthma**

- “Severe asthma” has had many different meanings Now defined as asthma that is uncontrolled **despite maximal optimised therapy** and treatment of contributory factors,
- **or that worsens when high dose treatment is decreased**
- i.e. relatively refractory to corticosteroids (rarely completely refractory)

# How common is severe asthma?



Box 1. What proportion of adults have difficult-to-treat or severe asthma?

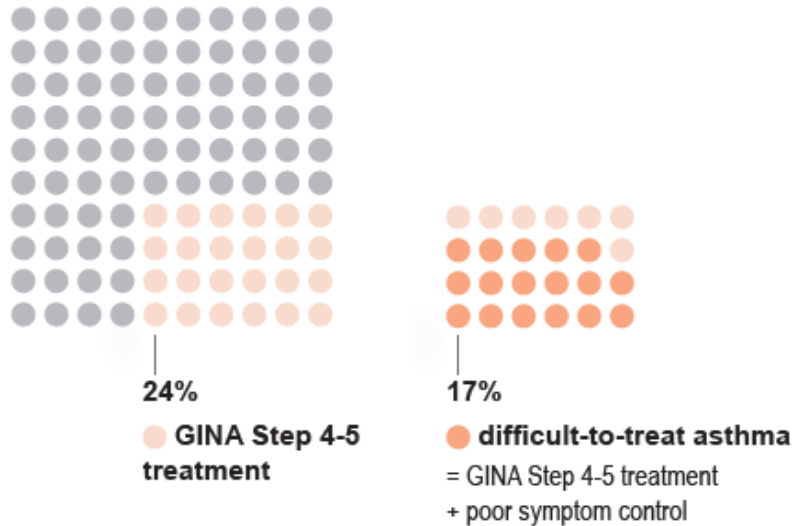


These data are from a Dutch population survey of people  $\geq 18$  years with asthma<sup>2</sup>

# How common is severe asthma?



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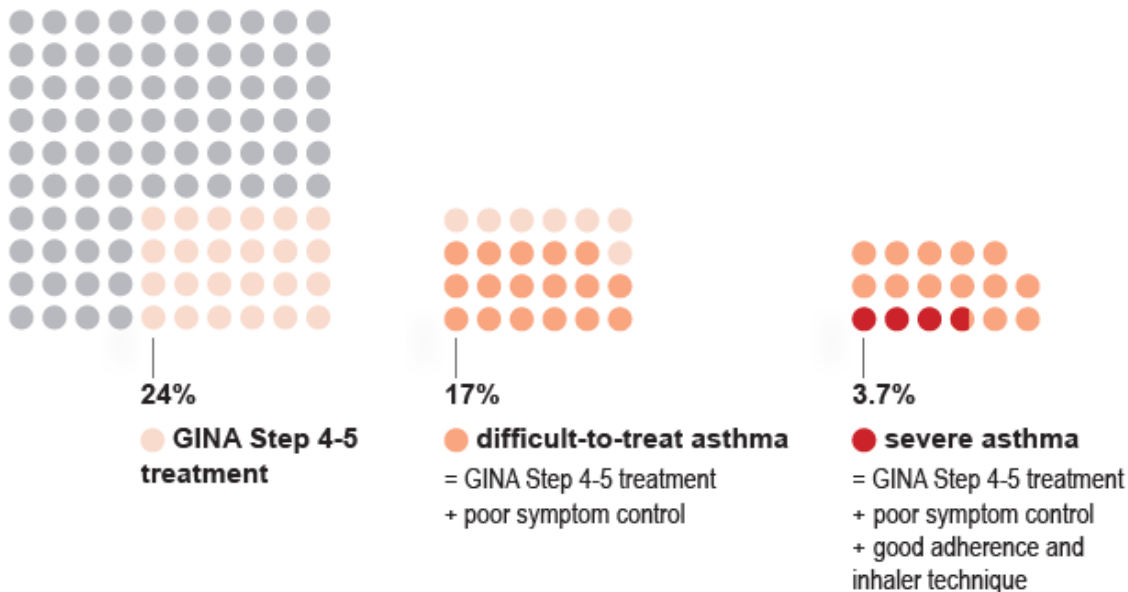


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# How common is severe asthma?



Box 1. What proportion of adults have difficult-to-treat or severe asthma?



These data are from a Dutch population survey of people  $\geq 18$  years with asthma<sup>2</sup>

Investigate and manage adult and adolescent patients with difficult-to-treat asthma



Consider referring to specialist or severe asthma clinic at any stage

Consider referring to specialist or severe asthma clinic at any stage

DIAGNOSIS:

*"Difficult-to-treat asthma"*

For adolescents and adults with symptoms and/or exacerbations despite GINA Step 4 treatment, or taking maintenance OCS

Key



decision,  
filters



intervention,  
treatment





Consider referring to specialist or severe asthma clinic at any stage

Consider referring to specialist or severe asthma clinic at any stage

DIAGNOSIS:

*"Difficult-to-treat asthma"*

**1** Confirm the diagnosis (asthma/differential diagnoses)

**2** Look for factors contributing to symptoms, exacerbations and poor quality of life:

- Incorrect inhaler technique
- Suboptimal adherence
- Comorbidities including obesity, GERD, chronic rhinosinusitis, OSA
- Modifiable risk factors and triggers at home or work, including smoking, environmental exposures, allergen exposure (if sensitized on skin prick testing or specific IgE); medications such as beta-blockers and NSAIDs
- Overuse of SABA relievers
- Medication side effects
- Anxiety, depression and social difficulties

For adolescents and adults with symptoms and/or exacerbations despite GINA Step 4 treatment, or taking maintenance OCS

## Key



decision,  
filters



intervention,  
treatment



## Investigate and manage adult and adolescent patients with difficult-to-treat asthma



Consider referring to specialist or severe asthma clinic at any stage

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DIAGNOSIS:  
"Difficult-to-treat asthma"

- 1 Confirm the diagnosis** (asthma/differential diagnoses) → **3 Optimize management, including:**

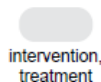
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- Medication side effects
- Anxiety, depression and social difficulties

- Asthma education
- Optimize treatment (e.g. check and correct inhaler technique and adherence; switch to ICS-formoterol maintenance and reliever therapy, if available)
- Treat comorbidities and modifiable risk factors
- Consider non-biologic add-on therapy (e.g. LABA, tiotropium, LM/LTRA, if not used)
- Consider non-pharmacological interventions (e.g. smoking cessation, exercise, weight loss, mucus clearance, influenza vaccination)
- Consider trial of high dose ICS, if not used

For adolescents and adults with symptoms and/or exacerbations despite GINA Step 4 treatment, or taking maintenance OCS

## Key





**Investigate and manage adult and adolescent patients with difficult-to-treat asthma**

Consider referring to specialist or severe asthma clinic at any stage

DIAGNOSIS:  
"Difficult-to-treat asthma"

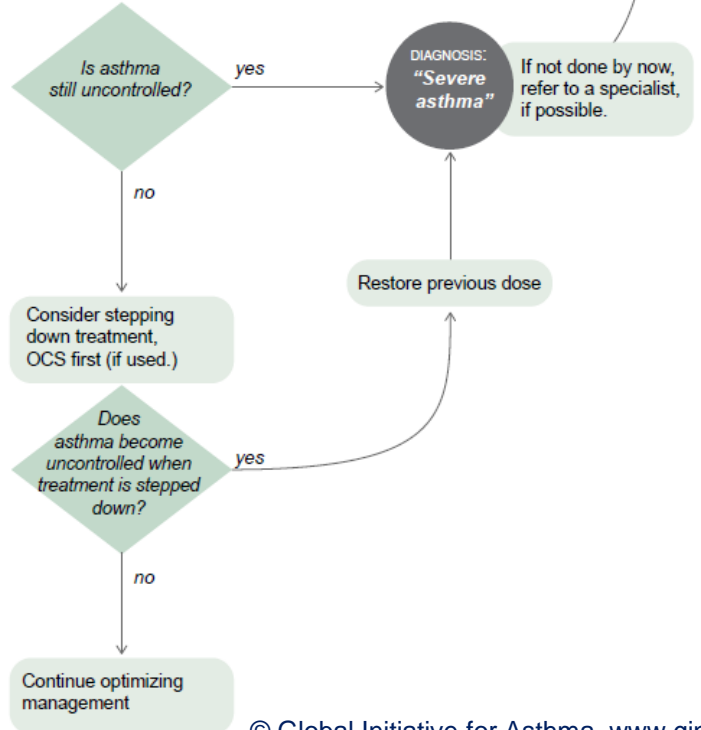


For adolescents and adults with symptoms and/or exacerbations despite GINA Step 4 treatment, or taking maintenance OCS

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- Asthma education
- Optimize treatment (e.g. check and correct inhaler technique and adherence; switch to ICS-formoterol maintenance and reliever therapy, if available)
- Treat comorbidities and modifiable risk factors
- Consider non-biologic add-on therapy (e.g. LABA, tiotropium, LM/LTRA, if not used)
- Consider non-pharmacological interventions (e.g. smoking cessation, exercise, weight loss, mucus clearance, influenza vaccination)
- Consider trial of high dose ICS, if not used



DIAGNOSIS:  
"Severe asthma"  
If not done by now, refer to a specialist, if possible.

## Assess and treat severe asthma phenotypes

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)



- Assess the **severe asthma phenotype during high dose ICS treatment** (or lowest possible dose of OCS)

### Type 2 inflammation

Could patient have Type 2 airway inflammation?

Note: these are not the criteria for add-on biologic therapy (see 6b)

- Blood eosinophils  $\geq 150/\mu\text{l}$  and/or
- FeNO  $\geq 20$  ppb and/or
- Sputum eosinophils  $\geq 2\%$ , and/or
- Asthma is clinically allergen-driven and/or
- Need for maintenance OCS  
(Repeat blood eosinophils and FeNO up to 3x, on lowest possible OCS dose)

- Investigate for comorbidities/differential diagnoses and treat/refer as appropriate
  - Consider: CBC, CRP, IgG, IgA, IgM, IgE, fungal precipitins; CXR and/or HRCT chest; DLCO
  - Skin prick testing or specific IgE for relevant allergens, if not already done
  - Other directed testing (e.g. ANCA, CT sinuses, BNP, echocardiogram) based on clinical suspicion
- Consider need for social/psychological support
- Involve multidisciplinary team care (if available)
- Invite patient to enroll in registry (if available) or clinical trial (if appropriate)

## Assess and treat severe asthma phenotypes

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

**5** Assess the **severe asthma phenotype** and factors contributing to symptoms, quality of life and exacerbations

**6a** Consider *non-biologic* treatments

Assess the severe asthma phenotype during high dose ICS treatment (or lowest possible dose of OCS)

### Type 2 inflammation

Could patient have Type 2 airway inflammation?

- Blood eosinophils  $\geq 150/\mu\text{l}$  and/or
- FeNO  $\geq 20$  ppb and/or
- Sputum eosinophils  $\geq 2\%$ , and/or
- Asthma is clinically allergen-driven and/or
- Need for maintenance OCS  
(Repeat blood eosinophils and FeNO up to 3x, on lowest possible OCS dose)

yes

no

- Consider adherence tests
- Consider increasing the ICS dose for 3-6 months
- Consider AERD, ABPA, chronic rhinosinusitis, nasal polyposis, atopic dermatitis (clinical Type 2 phenotypes with specific add-on treatment)

Investigate for comorbidities/differential diagnoses and treat/refer as appropriate

- Consider: CBC, CRP, IgG, IgA, IgM, IgE, fungal precipitins; CXR and/or HRCT chest; DLCO
- Skin prick testing or specific IgE for relevant allergens, if not already done
- Other directed testing (e.g. ANCA, CT sinuses, BNP, echocardiogram) based on clinical suspicion

Consider need for social/psychological support

Involve multidisciplinary team care (if available)

Invite patient to enroll in registry (if available) or clinical trial (if appropriate)

If no evidence of Type 2 inflammation:

- Review the basics: differential diagnosis, inhaler technique, adherence, comorbidities, side-effects
- Avoid exposures (tobacco smoke, allergens, irritants)
- Consider investigations (if available and not done)
  - Sputum induction
  - High resolution chest CT
  - Bronchoscopy for alternative/additional diagnoses
- Consider add-on treatments
  - Trial of tiotropium or macrolide\* (if not already tried)
  - Consider add-on low dose OCS, but implement strategies to minimize side-effects
  - Stop ineffective add-on therapies
- Consider bronchial thermoplasty (+ registry)

## Assess and treat severe asthma phenotypes

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

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- Need for maintenance OCS (Repeat blood eosinophils and FeNO up to 3x, on lowest possible OCS dose)

Note: these are not the criteria for add-on biologic therapy (see 6b)

yes

no

Investigate for comorbidities/differential diagnoses and treat/refer as appropriate

- Consider: CBC, CRP, IgG, IgA, IgM, IgE, fungal precipitins; CXR and/or HRCT chest; DLCO
- Skin prick testing or specific IgE for relevant allergens, if not already done
- Other directed testing (e.g. ANCA, CT sinuses, BNP, echocardiogram) based on clinical suspicion

Consider need for social/psychological support

Involve multidisciplinary team care (if available)

Invite patient to enroll in registry (if available) or clinical trial (if appropriate)

- Consider adherence tests
- Consider increasing the ICS dose for 3-6 months
- Consider AERD, ABPA, chronic rhinosinusitis, nasal polyposis, atopic dermatitis (clinical Type 2 phenotypes with specific add-on treatment)

Is add-on Type 2 biologic therapy available/affordable?

no

If add-on Type 2 biologic therapy is NOT available/affordable

- Consider higher dose ICS, if not used
- Consider non-biologic add-on therapy (e.g. LABA, tiotropium, LMLTRA, macrolide\*)
- Consider add-on low dose OCS, but implement strategies to minimize side-effects
- Stop ineffective add-on therapies

If no evidence of Type 2 inflammation:

- Review the basics: differential diagnosis, inhaler technique, adherence, comorbidities, side-effects
- Avoid exposures (tobacco smoke, allergens, irritants)
- Consider investigations (if available and not done)
  - Sputum induction
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Not currently eligible for biologics

## Assess and treat severe asthma phenotypes

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

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**6a** Consider **non-biologic** treatments

Assess the severe asthma phenotype during high dose ICS treatment (or lowest possible dose of OCS)

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- Asthma is clinically allergen-driven and/or
- Need for maintenance OCS (Repeat blood eosinophils and FeNO up to 3x, on lowest possible OCS dose)

Note: these are not the criteria for add-on biologic therapy (see 6b)

yes

no

• Investigate for comorbidities/differential diagnoses and treat/refer as appropriate

- Consider: CBC, CRP, IgG, IgA, IgM, IgE, fungal precipitins; CXR and/or HRCT chest; DLCO
- Skin prick testing or specific IgE for relevant allergens, if not already done
- Other directed testing (e.g. ANCA, CT sinuses, BNP, echocardiogram) based on clinical suspicion

• Consider need for social/psychological support

• Involve multidisciplinary team care (if available)

• Invite patient to enroll in registry (if available) or clinical trial (if appropriate)

- Consider adherence tests
- Consider increasing the ICS dose for 3-6 months
- Consider AERD, ABPA, chronic rhinosinusitis, nasal polyposis, atopic dermatitis (clinical Type 2 phenotypes with specific add-on treatment)

Is add-on Type 2 biologic therapy available/affordable?

yes

no

If add-on Type 2 biologic therapy is NOT available/affordable

- Consider higher dose ICS, if not used
- Consider non-biologic add-on therapy (e.g. LABA, tiotropium, LMLTRA, macrolide\*)
- Consider add-on low dose OCS, but implement strategies to minimize side-effects
- Stop ineffective add-on therapies

If no evidence of Type 2 inflammation:

- Review the basics: differential diagnosis, inhaler technique, adherence, comorbidities, side-effects
- Avoid exposures (tobacco smoke, allergens, irritants)
- Consider investigations (if available and not done)
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  - High resolution chest CT
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  - Stop ineffective add-on therapies
- Consider bronchial thermoplasty (+ registry)

Not currently eligible for biologics

Assess and treat severe asthma phenotypes *cont'd*

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

## 6b Consider *add-on biologic Type 2* targeted treatments

- Consider add-on Type 2-targeted biologic for patients with exacerbations or poor symptom control on high dose ICS-LABA, who:
  - have eosinophilic or allergic biomarkers, or
  - need maintenance OCS

- Consider local payer eligibility criteria and predictors of response when choosing between available therapies

- Also consider cost, dosing frequency, route (SC or IV), patient preference

Which biologic is appropriate to start first?

### Anti-IgE

Is the patient eligible for *anti-IgE* for severe allergic asthma?

- Sensitization on skin prick testing or specific IgE
- Total serum IgE and weight within dosage range
- Exacerbations in last year

no ↑  
↓ no

### Anti-IL5 / Anti-IL5R

Is the patient eligible for *anti-IL5 / anti-IL5R* for severe eosinophilic asthma?

- Exacerbations in last year
- Blood eosinophils  $\geq 300/\mu\text{l}$

no ↑  
↓ no

### Anti-IL4R

Is the patient eligible for *anti-IL4R* ... for severe eosinophilic/Type 2 asthma?

- Exacerbations in last year
  - Blood eosinophils  $\geq 150/\mu\text{l}$  or FeNO  $\geq 25$  ppb
- ... or because of need for maintenance OCS?

Eligible for none?  
Return to section 6a

Check local eligibility criteria for specific biologic therapies as these may vary from those listed



**Assess and treat severe asthma phenotypes** *cont'd*

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

**6b** Consider *add-on biologic Type 2* targeted treatments

- Consider add-on Type 2-targeted biologic for patients with exacerbations or poor symptom control on high dose ICS-LABA, who:
  - have eosinophilic or allergic biomarkers, or
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- Consider local payer eligibility criteria and predictors of response when choosing between available therapies
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Which biologic is appropriate to start first?

**Anti-IgE**

 Is the patient eligible for *anti-IgE* for severe allergic asthma?

- Sensitization on skin prick testing or specific IgE
- Total serum IgE and weight within dosage range
- Exacerbations in last year

 What factors may predict good asthma response to *anti-IgE*?

- Blood eosinophils  $\geq 260/\mu\text{l}$  ++
- FeNO  $\geq 20$  ppb +
- Allergen-driven symptoms +
- Childhood-onset asthma +

 no ↑  
↓ no

**Anti-IL5 / Anti-IL5R**

 Is the patient eligible for *anti-IL5 / anti-IL5R* for severe eosinophilic asthma?

- Exacerbations in last year
- Blood eosinophils  $\geq 300/\mu\text{l}$

 What factors may predict good asthma response to *anti-IL5/5R*?

- Higher blood eosinophils +++
- More exacerbations in previous year +++
- Adult-onset of asthma ++
- Nasal polyposis ++

 no ↑  
↓ no

**Anti-IL4R**

 Is the patient eligible for *anti-IL4R* ... for severe eosinophilic/Type 2 asthma?

- Exacerbations in last year
- Blood eosinophils  $\geq 150/\mu\text{l}$  or FeNO  $\geq 25$  ppb
- ... or because of need for maintenance OCS?

 What factors may predict good asthma response to *anti-IL4R*?

- Higher blood eosinophils +++
- Higher FeNO +++

*Anti-IL4R* may also be used to treat
 

- Moderate/severe atopic dermatitis
- Nasal polyposis

 Eligible for none?  
Return to section 6a

Check local eligibility criteria for specific biologic therapies as these may vary from those listed

Assess and treat severe asthma phenotypes *cont'd*

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

6b Consider *add-on biologic Type 2* targeted treatments

- Consider add-on Type 2-targeted biologic for patients with exacerbations or poor symptom control on high dose ICS-LABA, who:
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  - Allergen-driven symptoms +
  - Childhood-onset asthma +

**Anti-IL5 / Anti-IL5R**

Is the patient eligible for *anti-IL5* / *anti-IL5R* for severe eosinophilic asthma?

- Exacerbations in last year
- Blood eosinophils  $\geq 300/\mu\text{l}$

- What factors may predict good asthma response to *anti-IL5/5R*?
- Higher blood eosinophils +++
  - More exacerbations in previous year +++
  - Adult-onset of asthma ++
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Is the patient eligible for *anti-IL4R* ... for severe eosinophilic/Type 2 asthma?

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... or because of need for maintenance OCS?

- What factors may predict good asthma response to *anti-IL4R*?
- Higher blood eosinophils +++
  - Higher FeNO +++
- Anti-IL4R* may also be used to treat
- Moderate/severe atopic dermatitis
  - Nasal polyposis

Choose one if eligible; trial for at least 4 months and assess response

Extend trial to 6-12 months

Good asthma response?

yes → Good response to T2-targeted therapy

no →

unclear →

Eligible for none? Return to section 6a

Check local eligibility criteria for specific biologic therapies as these may vary from those listed



Assess and treat severe asthma phenotypes *cont'd*

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- ... or because of need for maintenance OCS?

What factors may predict good asthma response to *anti-IL4R*?

- Higher blood eosinophils +++
- Higher FeNO +++

*Anti-IL4R* may also be used to treat

- Moderate/severe atopic dermatitis
- Nasal polyposis

Choose one if eligible; trial for at least 4 months and assess response

Extend trial to 6-12 months

unclear

Good asthma response?

yes  
Good response to T2-targeted therapy

STOP add-on

Consider switching to a different Type 2-targeted therapy, if eligible

no

Little/no response to T2-targeted therapy

Eligible for none?  
Return to section 6a

Check local eligibility criteria for specific biologic therapies as these may vary from those listed

## Monitor / Manage severe asthma treatment

Continue to optimize management

### → 7 Review response →

- Asthma: symptom control, exacerbations, lung function
- Type 2 comorbidities  
e.g. nasal polyposis, atopic dermatitis
- Medications: treatment intensity, side-effects, affordability
- Patient satisfaction

#### *If good response to Type 2-targeted therapy*

- Re-evaluate the patient every 3-6 months <sup>1</sup>
- For oral treatments: consider decreasing/stopping OCS first, then stopping other add-on medication
- For inhaled treatments: consider decreasing after 3-6 months; continue at least moderate dose ICS
- Re-evaluate need for ongoing biologic therapy
- Order of reduction of treatments based on observed benefit, potential side-effects, cost and patient preference

yes →

## Monitor / Manage severe asthma treatment

Continue to optimize management

### 7 Review response

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- Medications: treatment intensity, side-effects, affordability
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#### *If good response to Type 2-targeted therapy*

- Re-evaluate the patient every 3-6 months <sup>Ⓢ</sup>
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- For inhaled treatments: consider decreasing after 3-6 months; continue at least moderate dose ICS
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yes →

#### *If no good response to Type 2-targeted therapy*

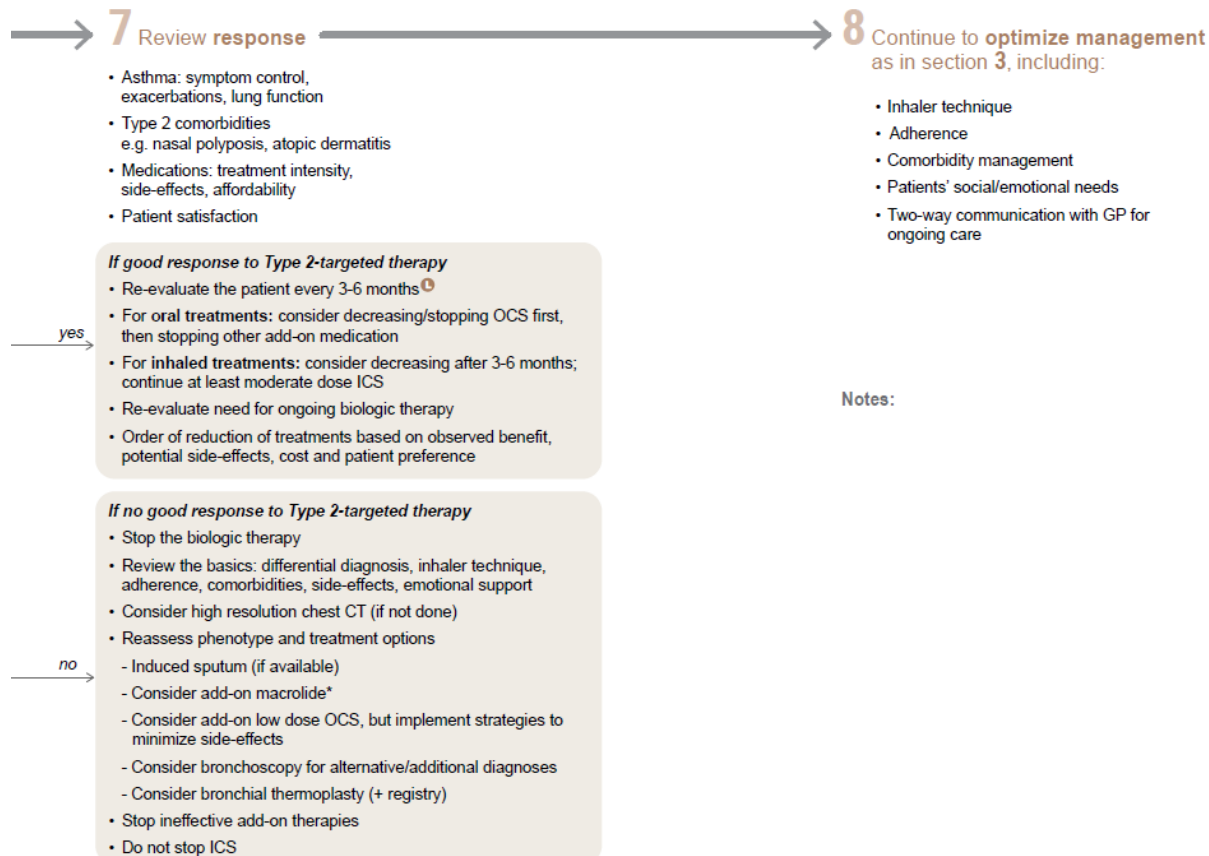
- Stop the biologic therapy
- Review the basics: differential diagnosis, inhaler technique, adherence, comorbidities, side-effects, emotional support
- Consider high resolution chest CT (if not done)
- Reassess phenotype and treatment options
  - Induced sputum (if available)
  - Consider add-on macrolide\*
  - Consider add-on low dose OCS, but implement strategies to minimize side-effects
  - Consider bronchoscopy for alternative/additional diagnoses
  - Consider bronchial thermoplasty (+ registry)
- Stop ineffective add-on therapies
- Do not stop ICS

no →

\*Off-label

## Monitor / Manage severe asthma treatment

Continue to optimize management



\* Off-label

**Thank  
you**